

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Alan W. EASTMAN et al.

Title: ADJUSTABLE CHILD SEAT
FOR TODDLERS TO SMALL
CHILDREN

Appl. No.: Unassigned

Filing Date: 12/04/2003

Examiner: Unassigned

Art Unit: Unassigned

INFORMATION DISCLOSURE STATEMENT
UNDER 37 CFR §1.56

Commissioner for Patents
Washington, D.C. 20231

Sir:

Applicants submit herewith on Form PTO/SB/08 a listing of the documents cited by or submitted to the U.S. PTO in parent application Serial No. 09/567,098, filed May 8, 2000. As provided in 37 CFR §1.98(d), copies of the documents are not being provided since they were previously submitted to the United States Patent & Trademark Office in the above-identified parent application.

The submission of any document herewith, which is not a statutory bar, is not intended as an admission that such document constitutes prior art against the claims of the present application or that such document is considered material to patentability as defined in 37 CFR §1.56(b). Applicants do not waive any rights to take any action which would be appropriate to antedate or otherwise remove as a competent reference any document which is determined to be a *prima facie* art reference against the claims of the present application.

TIMING OF THE DISCLOSURE

The listed documents are being submitted in compliance with 37 CFR §1.97(b), within three (3) months of the filing date of the application.

RELEVANCE OF EACH DOCUMENT

The relevance of the foreign-language documents is explained in the parent application.

Applicants respectfully request that any listed document be considered by the Examiner and be made of record in the present application and that an initialed copy of Form PTO/SB/08 be returned in accordance with MPEP §609.

The Commissioner is hereby authorized to charge any additional fees which may be required regarding this application under 37 CFR §§ 1.16-1.17, or credit any overpayment, to Deposit Account No. 19-0741. Should no proper payment be enclosed herewith, as by a check being in the wrong amount, unsigned, post-dated, otherwise improper or informal or even entirely missing, the Commissioner is authorized to charge the unpaid amount to Deposit Account No. 19-0741.

Respectfully submitted,

Date December 4, 2003

By Mary Michelle Kile

FOLEY & LARDNER
Customer Number: 22428



22428

PATENT TRADEMARK OFFICE
Telephone: (202) 672-5428
Facsimile: (202) 672-5399

Mary Michelle Kile
Attorney for Applicants
Registration No. 35,217

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449B/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT Date Submitted: December 4, 2003 <i>(use as many sheets as necessary)</i>				Complete if Known	
Sheet	1	of	5	Application Number	Unassigned
				Filing Date	December 4, 2003
				First Named Inventor	Alan W. Eastman et al.
				Group Art Unit	Unassigned
				Examiner Name	Unassigned
				Attorney Docket Number	050418-0439

U.S. PATENT DOCUMENTS						
Examiner Initials*	Cite No. ¹	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code ² (if known)			
A1	Des. 298,388			Wise et al.	11/08/88	
A2	Des. 324,611			Sedlack	03/17/92	
A3	Des. 355,986			Myers	03/07/95	
A4	Des. 365,691			Sedlack	01/02/96	
A5	3,761,126			Mulholland	09/25/73	
A6	3,926,473			Hogan	12/16/75	
A7	4,643,474			Wise et al.	02/17/87	
A8	4,655,506			Wise et al.	04/07/87	
A9	4,738,489			Wise et al.	04/19/88	
A10	4,909,574			Sedlack	03/20/90	
A11	5,022,669			Johnson	06/11/91	
A12	5,082,325			Sedlack	01/21/92	
A13	5,125,717			Sköld et al.	06/30/92	
A14	5,181,761			Meeker	01/26/93	
A15	5,286,090			Templin et al.	02/15/94	
A16	5,335,964			Sedlack et al.	08/09/94	
A17	5,486,037			Harper	01/23/96	
A18	5,580,126			Sedlack	12/03/96	
A19	5,609,393			Meeker et al.	03/11/97	
A20	5,664,833			Celestina-Krevh et al.	09/09/97	
A21	5,733,004			Celestina-Krevh et al.	03/31/98	
A22	5,746,478			Lumley et al.	05/05/98	
A23	5,810,445			Surot	09/22/98	
A24	5,836,650			Warner, Jr. et al.	11/17/98	
A25	5,294,182			Colasanti	03/15/94	
A26	2,638,152			N. B. Pulsifer	05/12/53	
A27	3,853,350			Leffler	12/10/74	
A28	4,402,548			Mason	09/06/83	
A29	4,738,488			Camelio	04/19/88	
A30	4,790,601			Burleigh et al.	12/13/88	
A31	4,854,639			Burleigh et al.	08/08/89	
A32	5,054,853			Gillies et al.	10/08/91	
A33	5,106,158			Dukatz et al.	04/21/92	
A34	5,161,522			Clevenger	11/10/92	

Examiner Signature		Date Considered
--------------------	--	-----------------

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Unique citation designation number. ²See attached Kinds of U.S. Patent Documents. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document.

⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, D.C. 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, D.C. 20231.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449B/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT Date Submitted: December 4, 2003 <i>(use as many sheets as necessary)</i>				Complete if Known	
Sheet	2	of	5	Application Number	Unassigned
				Filing Date	December 4, 2003
				First Named Inventor	Alan W. Eastman et al.
				Group Art Unit	Unassigned
				Examiner Name	Unassigned
				Attorney Docket Number	050418-0439

U.S. PATENT DOCUMENTS					
		U.S. Patent Document			
A35	5,466,043	Lambert et al.		11/14/95	
A36	5,586,810	Liu		12/24/96	
A37	5,662,382	Kerr et al.		09/02/97	
A38	6,030,047	Kain		02/29/00	
A39	6,135,553	Lovie et al.		10/24/00	
A40	3,265,437	Mincieli		08/09/66	
A41	4,334,709	Akiyama et al.		06/15/82	
A42	4,874,203	Henley		10/17/89	
A43	4,915,447	Shovar		04/10/90	
A44	5,098,157	Surot		03/24/92	
A45	5,380,063	Dauphin		01/10/95	
A46	5,388,888	Franzmann et al.		02/14/95	
A47	5,653,504	Henson		08/05/97	
A48	5,845,968	Lovie		12/08/98	
A49	5,860,695	Espenshade et al.		01/19/99	
A50	6,033,019	Hession-Kunz et al.		03/07/00	
A51	6,106,063	Dauphin		08/22/00	
A52	6,109,693	Bauer et al.		08/29/00	
A53	6,155,638	Bapst		12/05/00	
A54	6,209,840	Chen		04/03/01	
A55	6,227,616	Branke et al.		05/08/01	
A56	6,237,994	Bentley et al.		05/29/01	
A57	6,273,509	Reithmeier et al.		08/14/01	
A58	6,299,253	Chen		10/09/01	
A59	5,427,432	Meeker et al.		06/27/95	
A60	270,724	Baldwin		01/16/83	
A61	3,007,738	Gardel et al.		11/07/61	
A62	5,951,103	Barnhill		09/14/99	
A63	423,698	Plimsoll		03/18/90	
A64	1,702,955	Zaday		02/19/29	
A65	3,385,631	Gertler		05/28/68	
A66	3,547,487	Shankman		12/15/70	
A67	4,779,930	Rosen		10/25/88	
A68	5,645,317	Onishi et al.		07/08/97	
A69	5,735,576	Pepys et al.		04/07/98	
A70	5,829,829	Celestina-Krevh		11/03/98	
A71	6,045,183	Weber		04/04/00	

Examiner Signature		Date Considered
--------------------	--	-----------------

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Unique citation designation number. ²See attached Kinds of U.S. Patent Documents. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document.

⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, D.C. 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, D.C. 20231.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

<p>Substitute for form 1449B/PTO</p> <p>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</p> <p>Date Submitted: December 4, 2003</p> <p>(use as many sheets as necessary)</p>				<p>Complete if Known</p> <table border="1"> <tr> <td>Application Number</td> <td>Unassigned</td> </tr> <tr> <td>Filing Date</td> <td>December 4, 2003</td> </tr> <tr> <td>First Named Inventor</td> <td>Alan W. Eastman et al.</td> </tr> <tr> <td>Group Art Unit</td> <td>Unassigned</td> </tr> <tr> <td>Examiner Name</td> <td>Unassigned</td> </tr> <tr> <td>Attorney Docket Number</td> <td>050418-0439</td> </tr> </table>		Application Number	Unassigned	Filing Date	December 4, 2003	First Named Inventor	Alan W. Eastman et al.	Group Art Unit	Unassigned	Examiner Name	Unassigned	Attorney Docket Number	050418-0439
Application Number	Unassigned																
Filing Date	December 4, 2003																
First Named Inventor	Alan W. Eastman et al.																
Group Art Unit	Unassigned																
Examiner Name	Unassigned																
Attorney Docket Number	050418-0439																
Sheet	3	of	5														

U.S. PATENT DOCUMENTS

FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Document			Name of Patentee or Applicant of Cited Documents	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Office ³	Number ⁴	Kind Code ⁵ (if known)				
	A76	UK	1 541 885		Karl Kassbohrer Fahrzeugwerke GmbH	03/14/79		
	A77	UK	1 581 408		John Patrick Ettridge	12/10/80		
	A78	UK	2 123 686		Britax-Excelsior Limited	02/08/84		
	A79	UK	2 245 161		Cheuk-Yan Fung	01/02/92		
	A80	UK	2 266 839		Rolf Farmont	11/17/93		
	A81	EP	0 816 163	A1	AMPAFRANCE S.A.	01/07/98		
	A82	EP	0 302 607	A2	Rock-A-Bye Restraint Company Inc.	02/08/89		
	A83	EP	0 056 614	A2	Benjamin Products B.V.	07/28/82		
	A84	EP	0 121 899	A2	Keiper Recaro GmbH & Co.	10/17/84		
	A85	UK	1 201 043		Arnoldus Joseph Janssen	08/05/70		
	A86	FR	2 748 919		Mathou Marcel	11/28/97		
	A87	EP	0 751 033	A2	Margarete Schräder	01/02/97		
	A88	DE	197 55 526	A1	Recaro GmbH & Co.	02/11/99		

Examiner Signature		Date Considered	
-----------------------	--	--------------------	--

***EXAMINER:** Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Unique citation designation number. ² See attached Kinds of U.S. Patent Documents. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document.

⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, D.C. 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, D.C. 20231

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449B/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT Date Submitted: December 4, 2003 <i>(use as many sheets as necessary)</i>				Complete if Known	
Sheet	4	of	5	Application Number	Unassigned
				Filing Date	December 4, 2003
				First Named Inventor	Alan W. Eastman et al.
				Group Art Unit	Unassigned
				Examiner Name	Unassigned
				Attorney Docket Number	050418-0439

FOREIGN PATENT DOCUMENTS								
Examiner Initials*	Cite No. ¹	Foreign Patent Document			Name of Patentee or Applicant of Cited Documents	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Office ³	Number ⁴	Kind Code ⁵ (if known)				
A89	WIPO	WO 93/22954			Chrysler Corporation	11/25/93		
A90	JP	8113067			COMBI KK	05/07/96		
A91	DE	41 21 795		A1	Curt Würstl Vermögensverwaltungs-Gesellschaft mbH & Co KG	02/06/92		
A92	DE	197 52 536		C1	Daimler-Benz Aktiengesellschaft	07/09/98		

NON PATENT LITERATURE DOCUMENTS							
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.) date, page(s), volume-issue number(s), publisher, city and/or country where published.					T ⁶
A93		Recaro Start Car Seat photos, 3 pages.					
A94		Concord Lift Car Seat photos, 3 pages.					
A95		Century Products On-Line Catalog, NextStep™ Toddler/Youth Car Seat, Model 4920pvl, http://192.215.32.15/productdata/cent/c4920-pvl.html .					
A96		Century Products On-Line Catalog, NextStep™ Toddler/Youth Car Seat, Model 4920fbg, http://192.215.32.15/productdata/cent/c4920-fbg.html .					
A97		Century Products On-Line Catalog, NextStep™ Toddler/Youth Car Seat, Model 4920nso, http://192.215.32.15/productdata/cent/c4920-nso.html .					
A98		Century Products On-Line Catalog, NextStep™ SE Toddler/Youth Car Seat, Model 4905jtw, http://192.215.32.15/productdata/cent/c4905-jtw.html .					

Examiner Signature	Date Considered
--------------------	-----------------

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Unique citation designation number. ²See attached Kinds of U.S. Patent Documents. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document.

⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, D.C. 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, D.C. 20231.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449B/PTO				Complete if Known	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT				Application Number	Unassigned
Date Submitted: December 4, 2003 <i>(use as many sheets as necessary)</i>				Filing Date	December 4, 2003
Sheet	5	of	5	First Named Inventor	Alan W. Eastman et al.
				Group Art Unit	Unassigned
				Examiner Name	Unassigned
				Attorney Docket Number	050418-0439

NON PATENT LITERATURE DOCUMENTS				
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.) date, page(s), volume-issue number(s), publisher, city and/or country where published.		T ⁶
	A99	WPI Abstract accession no. 1996-272280 and JP 8113067 – 07/05/1996 (07 May 96).		
	A100	Brochure, Century Products Div. of Graco Children's Products Inc. "NextStep – Toddler/Youth Car Seats" (1998).		
	A101	Britax booster seat photographs, 6 photographs, 6 sheets (date unknown).		
	A102	Recaro booster seat photographs, 11 photographs, 6 sheets (date unknown).		
	A103	Recaro Start Instruction Manual, pp. 1-13 (date unknown).		
	A104	WPI Abstract Acct. No. 1998-122099 & DE29721468U (Keiper Recaro).		

Examiner Signature	Date Considered
--------------------	-----------------

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Unique citation designation number. ²See attached Kinds of U.S. Patent Documents. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document.

⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, D.C. 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, D.C. 20231.